The Expression Problem

understand the expression problem

see Haskell and Scala code illustrating the problem

Learn how **FP typeclasses** can be used to solve the **problem**

see the Haskell solution to the problem and a translation into Scala





Ralf Lämmel @reallynotabba



Scala

Part 2 based on the work of



We begin Part 2 with the last slide from Part 1, which defines the **expression problem**.

@philip_schwarz



Here is the definition of the **Expression Problem**.



Computer Scientist Philip Wadler

Cc: Philip Wadler <wadler@research.bell-labs.com> Subject: The Expression Problem Date: Thu, 12 Nov 1998 14:27:55 -0500 From: Philip Wadler <wadler@research.bell-labs.com>

> The **Expression Problem** Philip Wadler, 12 November 1998

The Expression Problem is a new name for an old problem. The goal is to define a datatype by cases, where one can <u>add new cases</u> to the <u>datatype</u> and <u>new functions</u> over the <u>datatype</u>, without recompiling <u>existing code</u>, and while retaining static type safety (e.g., no casts). For the concrete example, we take expressions as the data type, begin with one case (constants) and one function (evaluators), then add one more construct (plus) and one more function (conversion to a string).

Whether a language can solve the Expression Problem is a salient indicator of its capacity for expression. One can think of cases as rows and functions as columns in a table. In a functional language, the rows are fixed (cases in a datatype declaration) but it is easy to add new columns (functions). In an object-oriented language, the columns are fixed (methods in a class declaration) but it is easy to add new rows (subclasses). We want to make it easy to add either rows or columns. This deck is going to be largely based on extracts from two talks given by **Ralf Lämmel**

1. The Expression Problem



The talks can be found here:

https://web.archive.org/web/20100907194522/http://channel9.msdn.com/tags/C9+Lectures/

And slides can be found here:

https://userpages.uni-koblenz.de/~laemmel/paradigms1011/resources/pdf/xproblem.pdf https://userpages.uni-koblenz.de/~laemmel/paradigms1011/resources/pdf/typeclasses.pdf





Ralf Lämmel

The Expression Problem is an interesting software extensibility challenge.

It is interesting for us in this context because it helps us study some subtle differences between OOP and FP.

And in fact it will allow me, not today, but perhaps in the next presentation, to bring up some new **supernatural powers** of **Haskell**, because it is a **real challenge**, and it turns out that this **challenge** can be addressed with some designated **Haskell expressiveness**.

The Expression Problem Ralf Lämmel Software Language Engineer University of Koblenz-Landau Germany Let me explain the problem first. We are at the Haskell prompt and we are entering some expressions. In fact we are playing with an expression language.

We have **constant expressions**.

> let x = Const 40
> let y = Const 2

We have addition expressions.

> let z = Add x y

Ralf Lämmel

So we can build arithmetic expressions. We use the constructors of an Algebraic Data Type (ADT). We construct terms and those terms denote arithmetic expressions.

As you see, we can **pretty print** those **expressions**.

> prettyPrint z
"40 + 2"

And we can also evaluate those expressions.

> evaluate z
42

So no big deal. The question that leads to the **expression problem** is:

How can we program such an interpreter and such a pretty printer, how can we implement such an expression language, so that later on we can <u>easily add more</u> expression forms, such as subtraction or negation, and we can also <u>easily add more</u> operations, such as optimization and code generation? How can we set up our programming style so that such <u>extensions</u> are possible?

That is the **expression problem**.



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Here is an **expression problem** summary.

- Program = data + operations
- There could be *many* data variants.

e.g. expression forms: constant, addition.

- There could be *many* operations.
 - e.g. pretty printing, evaluation.
- Data and operations should be extensible.

The expression problem is whether or not, and if so how, we can add data variants and operations.

This sounds like a simple problem, but as you will see, it is not so easy to address this problem in a satisfactory manner in <u>functional</u> and <u>OO programming</u>.

At least not as long as we are limiting ourselves to <u>basic functional programming</u> and <u>basic</u> <u>OO programming</u>.

I should make sure that we have some shared understanding of what I mean by **extensibility**, being **extensible** in the **data dimension** and the **operation dimension**.

What I mean by that is that we should take care of at least three requirements:

1. Code-level modularization

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If you have a given program and you want to extend it, then this extension should be in a new code unit, we shoud not allow ourselves to extend the program by going back into existing code units and editing them. This is what we mean by extensibility, that we do not touch existing code.

2. Separate compilation

We want our basic program and our extensions to be true modules in the sense of compilation and deployment. So suppose we have a program, we compile it and we ship it, it is running at the customer site. Now the customer requests an extension to the program, then we should be able to develop this extension by means of another module which we can compile in separation. We don't have to recompile anything that was there before, and so we can deliver the extension to the customer just by shipping that new module for the extension.

3. Static type safety

Suppose we are using a language like **C#** or **Java**, with some sophisticated means of type checking to help us with avoiding certain types of programming errors, then we want to preserve that type checking power even in the view of extensibility. **Just because our program is becoming extensible, we don't want to compromise on type safety**.

Extensibility

Three Requirements:

- 1. Code-level modularization
- 2. Separate compilation
- 3. Static type safety







On the next slide we translate that Haskell program into Scala.

@philip_schwarz



module Data where	
data Expr = Const Int Add Expr Expr	

module Evaluator where

import Data

```
evaluate :: Expr -> Int
evaluate (Const i) = i
evaluate (Add l r) = evaluate l + evaluate r
```

module PrettyPrinter where

import Data

haskell> main
"(2 + (3 + 4))"
9



object Data:

```
enum Expr:
   case Const(i: Int)
   case Add(l: Expr, r: Expr)
```

import Data.Expr, Expr._

object Evaluator:

```
def evaluate(expr: Expr): Int = expr match
  case Const(i) => i
  case Add(l,r) => evaluate(l) + evaluate(r)
```

import Data.Expr, Expr._

object PrettyPrinter:

@main def main: Unit =
 val expression: Expr = Add(Const(2),Add(Const(3),Const(4)))
 println(prettyPrint(expression))
 println(evaluate(expression))

scala> main
(2 + (3 + 4))
9

The situation that we have with **basic functional programming** is that **it is easy to carry out operation extensions**, as we have just demonstrated, **it is easy to perform new functions on existing data**. It is however **not easy to perform data extensions**.

So why is that, and what do I mean by data extension?

Well, by data extension I mean of course that we could add another data variant, another expression form, without touching existing code, and we can also make sure that all the existing operations, like in our example, let's say, pretty printing, works for this new expression form.

Why is this not easy, or even possible in basic functional programming?

It is because algebraic data types are <u>closed</u>, and in fact, also recursive function definitions, defined by pattern matching are <u>closed</u> too, in <u>basic</u> functional programming, and <u>because</u> they are <u>closed</u>, there is no way, in a module that is laid on top of the basic data variants, to add data variants, there is just no way to do this.

Again, we could of course go back to the data module and **patch** it, but **this is not extensibility** because we would **touch existing code units**.

So this is interesting: with functional programming we only get operation extensions easily, but we <u>don't</u> get data extensions easily.



Ralf Lämmel



It is <u>easy</u> to add <u>operations</u> in basic functional programming.

It is **not so easy** to **add <u>data variants</u>** (without touching existing code).



In the next part of his talk on the **Expression Problem**, **Ralf Lämmel** uses **C#** as an **OOP** language.

Instead of showing his **C#** code examples, we'll show the equivalent **Scala** code (the language supports both **OOP** and **FP**).

Now let's try the same experiment with Scala.

In **Scala** you might think we could start from these classes here, so these classes would more or less resemble the **algebraic data type** of our **Haskell** development...

You might think that this is a good initial program: we compile it, we ship it, the customer uses it, and now the customer says: hey, this is a great program, but I would like to have an evaluator for this program, and then we say OK, no problem, we just have to add an evaluate method to those classes.

Well, that's where we are in trouble, because how do we do this? We have to violate separate compilation, right? In order to supply an extension for evaluation we would need to touch this code and add another method to it, we would have to recompile and ship those classes again to the customer, and he would need to throw away those existing classes and install a new version.

This is bad extensibility. But remember, this was easy with Haskell: we could easily add prettyPrinting and subsequently evaluation, so with OOP we fail to do operation extensions, even though they were easy with Haskell.



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trait Expr: def prettyPrint: String

case class Const(i: Int) extends Expr: def prettyPrint: String = i.toString

@main def main: Unit =
 val expr: Expr = Add(Const(2),Add(Const(3),Const(4)))
 println(expr.prettyPrint)

scala> main
(2 + (3 + 4))

OK, but we can do something with OOP here that we couldn't do with FP, we can do data extensions easily.

We can of course always go and add another class, in this case we add a class **Neg** for negation, with one operand for which negation is to be computed, and we add an implementation to the initial system which already has a few **expression forms**, and which also has snapshotted the **prettyPrint operation** in those classes, so because **Expr** has a **prettyPrint operation**, our implementation **Neg** also has a **prettyPrint operation**, no surprise.

This is a data extension. It is more than just the data structure, it also defines the case for all preexisting operations, in our case we only have one operation, prettyPrint.

So this is interesting, right? We can perform data extensions, as you have just seen. We had this initial program, with some data variants and some operations, in this case pretty printing, and we can go and add one data extension, perhaps another data extension, and so on.



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trait Expr: def prettyPrint: String

case class Const(i: Int) extends Expr: def prettyPrint: String = i.toString



case class Neg(expr: Expr) extends Expr: def prettyPrint: String = "-" + expr. prettyPrint





+



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@reallynotabba

This is interesting, also because it means the situation is pretty much the inverse compared to FP.

So we can do data extension. We can go from a program that covers some expression forms to a program that covers <u>more</u> expression forms. We couldn't do that with Haskell. However, we can't do operation extension in OOP, because we are not supposed to add methods to existing classes without violating separate compilation.

So it seems like FP and OOP are complementary, which is interesting.

<u>There are two subtle things worth pointing out</u>. You should realise that I quite often say <u>basic</u> OOP and <u>basic</u> FP. And you should also realise that I say '(not so) <u>easy</u> to add'. By <u>basic</u> I mean what you learn in a 101 OOP/FP course. If you go nuts and use every weapon available you can also get operation extensibility in OOP. And then when I say it is not so easy to add operations, this is part of the same story: if you are willing to engage in sophisticated encodings, well then you can get **both dimensions** of extensibility, but the point is that you don't want to do crazy things, you want to use relatively straightforward idioms and design patterns, and still like to get both dimensions of extensibility.



Remember this table from Part 1?				
		Addition of new		
		Function	Туре	
Polymorphism	Subtype	ОСРХ	ОСР√	
	Alternation-based ad-hoc	OCP√	ОСРХ	



Here is an updated version that uses the same terminology seen in the two diagrams on the previous slide.

	Operation Extension	Data Extension
OOP	×	\checkmark
FP	\checkmark	×



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Summary

How are we supposed to design a program so that we can achieve both **data extensibility** and **operation extensibility**?

What language concepts help us achieve both dimensions of extensibility (and separate compilation and static type safety)?



In the presentation called **The Expression Problem**, **Ralf Lämmel** does not cover the **solution** to the **problem**.

The presentation in which he does that is called Advanced Functional Programming – Type Classes.

Remember again what it means to solve the expression problem.

It means that we can do data extensions and operation extensions, and we convinced ourselves that operation extensions are straightforward in Haskell, or any FP language, because it is easy to define new functions in an FP language.

The hard part is to do data extension in an <u>FP</u> language. Data extensibility is difficult because the standard algebraic data types of Haskell and other languages in the functional paradigm are <u>closed</u>.

We need to <u>open up</u> data types.

We need some encoding scheme to get <u>open</u> data types, and this is what type classes will provide us with.

And then remember, it is not enough just to be able to have new data variants, to have <u>open</u> data types, no, we also need to <u>open up</u> functions, because the functions, whenever there is a new data variant, the existing functions also need to pick up this new data variant.

We need open data types and open functions.

Let's solve the expression problem with open data types and open functions.



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Let's start from the **closed** situation.

There are two **constructors** and one of them, **Add**, is **recursive**.

Point of reference: the closed datatype

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This is the function for which we want to achieve data **extensibility**, but this is of course, to start with, the **closed** version of it.

There is one equation per **datatype constructor**, and there are **recursive** function applications.

Point of reference: the closed function

evaluate :: Expr -> Int evaluate (Const i) = i evaluate (Add l r) = evaluate l + evaluate r

So this is the reference implementation, except, it is **not extensible** with regard to **data variants**.

We need to open it up.





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types.
The open datatype
data Const= Const Intdata Addl rAddl r
<pre>class Expr x instance Expr Const instance (Expr 1, Expr r) => Expr (Add 1 r)</pre>

This is a certain **scheme**, so let me explain this **scheme** in detail.

What we do here is we start from the <u>closed</u> data type

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So now you see there are two distinct **data types**, one for each of the original **constructors**.

and we take its **constructors**, and we define one **data type** for each **constructor**.

And then the second part of the **encoding scheme** is to use a **typeclass** to model the original **data type**. We had an **algebraic data type** (ADT) Expr. Now, to make it <u>open</u>, we replace the ADT Expr with typeclass Expr.

```
class Expr x
instance Expr Const
instance (Expr 1, Expr r) => Expr (Add 1 r)
```

And then we have to say what types are expression types, and there are these types here, **Const** types and **Add** types. We have two instance types, one instance for each original **constructor**. And then because **Add** types are **recursive** again, we need to add the appropriate **constraints** here so that we say, if you form an **Add** type from two other **subexpression** types I and r, please make sure that the **subexpression** types are also **Expr** types. This is what the **constraint** says.

This is the **scheme** to define an <u>open</u> data type. What is remarkable about this definition is that there are no typeclass members involved and that's because we only want to model the data type, we don't yet want to model any operation, we don't want to anticipate any operations here. That will be the next step, to define operations on top of this data type. This is only the data type.

Here is the beginning of the <u>open</u> function for evaluation. It is going to be an <u>open</u> function, so we can't expect to see a regular function, rather, we use a function that is a typeclass member, so we designate a typeclass Evaluate to the evaluate function.



Ralf Lämmel @reallynotabba The open function (type-class declaration)

class Expr x => Evaluate x

where

evaluate :: x -> Int

evaluate :: Expr -> Int evaluate (Const i) = i evaluate (Add l r) = evaluate l + evaluate r

Point of reference:

the **closed function**

Were we now have x in **evaluate** :: $x \rightarrow Int$, we previously had **Expr**, the <u>closed</u> algebraic data type Expr. Now, we are polymorphic in the type x here, but we constrain the type to be an Expr type.

So this is how we go from the <u>closed</u> function signature to the <u>open</u> function signature.

Now here is the rest of it. Here are the instances for the **Evaluate typeclass**. Obviously there are two **typeclass instances** because we have two **expression forms**

The **open function** (type-class instances)

instance Evaluate Const

where

```
evaluate (Const i) = i
```

```
instance (Evaluate 1, Evaluate r) => Evaluate (Add 1 r)
```

where

```
evaluate (Add l r) =
```

evaluate l + evaluate r

(typeclass declaration)
class Expr x => Evaluate x
where
evaluate :: x -> Int

Point of reference:
the closed function
evaluate :: Expr -> Int

The open function

```
evaluate (Const i) = i
evaluate (Add l r) = evaluate l + evaluate r
```

These are exactly the definitions as we had them before in the <u>closed</u> model, where we had <u>equations</u>, the only difference is that these definitions here are not just the plain list of <u>equations</u>, but rather, they are **integrated** into this <u>system of instances</u>, and these <u>instances</u> make sure that these <u>definitions</u> apply to the appropriate <u>expression forms</u>.

Because we recurse on the **subexpressions** of **Add**, we have to make sure that the types of the **subexpressions** are such that we can perform **Evaluation**, so again we have a **constraint** in the **instance** for **Add**.



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@reallynotabba

We have solved the Expression Problem. We have open data types, we have open functions, and so we can define any number of open functions, so we have solved the problem.

But let's just illustrate it, that we can indeed perform **data extensions** in such a setup.

It is very easy. It is three steps:

- 1. Declare a designated **datatype** for the **data variant**
- 2. Instantiate the typeclass for the open datatype
- 3. Instantiate all typeclasses for existing operations

a data extension

```
(1) data Expr x => Neg x = Neg x
```

```
2) instance Expr x => Expr (Neg x)
```

```
(3) instance Evaluate x => Evaluate (Neg x)
```

where

evaluate (Neg x) = 0 - evaluate x

Expression Problem Summary

How are we supposed to design a program so that we can achieve both **data extensibility** and **operation extensibility**?

What language concepts help us achieve both dimensions of extensibility (and separate compilation and static type safety)?



We first need to come up with a **new data type**, whenever there is a **new data variant**. We want to have negation, so we define a **new data type** with the constructor Neg, and we constrain it so that it is an Expr type.

2) Then we register this data type with the typeclass for expression forms, we say yes, negation is indeed an expression type.

3 And then we say well, let's see what operations are around, well we have an operation Evaluate and so we instantiate Evaluate for negation, and we just implement the evaluation for negation as we would do in the closed model, there is nothing special.



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2 @philip_schwarz

By the way, when I tried to compile that code, I got an error that suggested enabling the -XDatatypeContexts feature, but then I got this:

Main.hs:2:14: warning:

-XDatatypeContexts is deprecated: It was widely considered a misfeature, and has been removed from the Haskell language.

2 | {-# LANGUAGE DatatypeContexts #-}

So in the code, I replaced

data Expr x => Neg x = Neg x

with

```
data Neg x = Neg x
```



The next slide shows the whole of the **Haskell** code solving the **expression problem**, plus a **prettyPrint function**.

It also shows how the **solution** code supports both **operation extension** and **data extension**.





Let's take that **Haskell** code for a quick spin.


```
four = Const 4
twoPlusThree = Add (Const 2) (Const 3)
twoPlusThreeNegated = Neg twoPlusThree
main :: IO ()
main = do

putStrLn (show (evaluate four))
putStrLn (show (evaluate twoPlusThree))
putStrLn (show (evaluate twoPlusThree))
putStrLn (show (prettyPrint four))
putStrLn (show (prettyPrint twoPlusThree))
putStrLn (show (prettyPrint twoPlusThree))
putStrLn (show (prettyPrint twoPlusThree))
putStrLn (show (prettyPrint twoPlusThree))
```

haskell>	main
4	
5	
-5	
"4"	
"(2+3)"	
"-(2+3)"	



Next, I got started having a go at a **Scala** translation of the **Haskell** code, but I soon got stuck, so I asked for suggestions in the **Scala** users forum.

Scala Users

Translating Haskell Expression Problem Solution to Scala 3



Hi all,

philipschwarz

29d

Having worked on The Expression Problem - Part 1 (1) (download for proper image quality), I am now starting out on Part 2, and I am asking myself if it is possible to translate the following Haskell solution of the Expression Problem into Scala 3.



I received suggestions from both Alex Boisvert and Michael Marte (Thank you both very much). The next slide is the Scala equivalent of the previous slide, and consists of my very minor tweaks and additions to Michael's translation.





Michael Marte informarte



Btw, in order to fit the code onto the slide, I called the **pretty printer** typeclass **Show**.

https://users.scala-lang.org/t/translating-haskell-expression-problem-solution-to-scala-3/8303/3

@philip_schwarz





Let's take that Scala code for a quick spin.

```
def eval[A:Expr:Eval](a: A) = implicitly[Eval[A]].eval(a)
def show[A:Expr:Show](a: A) = implicitly[Show[A]].show(a)
val four = Const(4)
val twoPlusThree = Add(Const(2), Const(3))
val twoPlusThreeNegated = Neg(twoPlusThree)
@main def main: Unit =
    println(eval(four))
    println(eval(twoPlusThree))
    println(eval(twoPlusThreeNegated))
    println(show(four))
    println(show(twoPlusThree))
    println(show(twoPlusThree))
    println(show(twoPlusThree))
```

scala>	main
4	
5	
-5	
4	
(2+3)	
-(2+3)	



The next slide shows the **Haskell** and **Scala** code side by side.

Again, to save space, the **pretty printer typeclass** is now called **Show**.





class Expr x

instance Expr Const instance (Expr l, Expr r) => Expr (Add l r)

class Expr x => Evaluate x
where evaluate :: x -> Int

instance Evaluate Const
 where evaluate (Const i) = i

instance (Evaluate 1, Evaluate r) =>
Evaluate (Add l r)
where evaluate (Add l r) =
 evaluate l + evaluate r

data Neg x = Neg x

instance Expr x => Expr (Neg x)

instance Evaluate x => Evaluate (Neg x)
where evaluate (Neg x) = 0 - evaluate x

class Expr x => Show x
where show :: x -> String

```
instance Show Const
where show (Const i) = show i
instance (Show 1, Show r) => Show (Add 1 r)
where show (Add 1 r) =
   "(" ++ (show 1) ++ "+" ++ (show r) ++ ")"
instance Show x => Show (Neg x)
where show (Neg x) = "-" ++ (show x)
```

case class Const(c: Int)
case class Add[A, B](1: A, r: B)

trait Expr[A]

given Expr[Const] with { }
given [A, B](using leftExpr: Expr[A], rightExpr: Expr[B]): Expr[Add[A, B]] with { }

trait Eval[A]:
 def eval(a: A)(using expr: Expr[A]): Int

given Eval[Const] with
 def eval(a: Const)(using expr: Expr[Const]) = a.c

case class Neg[A](a: A)

given [A](using expr: Expr[A]): Expr[Neg[A]] with { }

given [A](using expr: Expr[A], subEval: Eval[A]): Eval[Neg[A]] with def eval(a: Neg[A])(using expr: Expr[Neg[A]]) = -subEval.eval(a.a)

trait Show[A]: def show(a: A)(using expr: Expr[A]): String

